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PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application Number	10/663,217																																	
		Filing Date	September 15, 2003																																	
		First Named Inventor	OUYANG, TIANMEI																																	
		Group Art Unit	1641																																	
		Examiner Name	DO, PENSEE T.																																	
Total Number of Pages in This Submission		Attorney Docket Number	LIFE-070DIV																																	
ENCLOSURES (check all that apply)																																				
<table border="0"><tr><td><input checked="" type="checkbox"/> Fee Transmittal Form</td><td><input type="checkbox"/> Assignment Papers (for an Application)</td><td><input type="checkbox"/> After Allowance Communication to Group</td></tr><tr><td><input type="checkbox"/> Fee Attached</td><td><input type="checkbox"/> Drawing(s)</td><td><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences</td></tr><tr><td><input type="checkbox"/> Amendment / Reply</td><td><input type="checkbox"/> Licensing-related Papers</td><td><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)</td></tr><tr><td><input type="checkbox"/> After Final</td><td><input type="checkbox"/> Petition</td><td><input type="checkbox"/> Proprietary Information</td></tr><tr><td><input type="checkbox"/> Affidavits/declaration(s)</td><td><input type="checkbox"/> Petition to Convert to a Provisional Application</td><td><input type="checkbox"/> Status Letter</td></tr><tr><td><input type="checkbox"/> Extension of Time Request</td><td><input type="checkbox"/> Power of Attorney, Revocation</td><td><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):</td></tr><tr><td><input type="checkbox"/> Express Abandonment Request</td><td><input type="checkbox"/> Change of Correspondence Address</td><td>1) Information Disclosure Statement (1 pg.)</td></tr><tr><td><input checked="" type="checkbox"/> Information Disclosure Statement</td><td><input type="checkbox"/> Terminal Disclaimer</td><td>2) PTO/SB/08A (1 pg.)</td></tr><tr><td><input type="checkbox"/> Certified Copy of Priority Documents</td><td><input type="checkbox"/> Request for Refund</td><td>3) 2 Cited References</td></tr><tr><td><input type="checkbox"/> Response to Missing Parts/Incomplete Application</td><td><input type="checkbox"/> CD, Number of CD(s)</td><td>4) Return Postcard</td></tr><tr><td><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53</td><td colspan="2">Remarks</td></tr></table>				<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group	<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences	<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information	<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter	<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):	<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Change of Correspondence Address	1) Information Disclosure Statement (1 pg.)	<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Terminal Disclaimer	2) PTO/SB/08A (1 pg.)	<input type="checkbox"/> Certified Copy of Priority Documents	<input type="checkbox"/> Request for Refund	3) 2 Cited References	<input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> CD, Number of CD(s)	4) Return Postcard	<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Remarks	
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT																																				
Signing Attorney/Agent (Reg. No.)	CAROL M. LASALLE (REG. NO. 39,740) BOZICEVIC, FIELD & FRANCIS LLP																																			
Signature																																				
Date	May 19, 2004																																			

EXPRESS MAIL LABEL NO. EV333997247US

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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FREE TRANSMITTAL for FY 2004		Complete if Known		
Effective 10/01/2003. Patent fees are subject to annual revision.		Application Number	10/663,217	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Filing Date	September 15, 2003	
TOTAL AMOUNT OF PAYMENT (\$)		First Named Inventor	OUYANG, TIANMEI	
(\$)		180.00	Examiner Name	DO, PENSEE T.
METHOD OF PAYMENT (check all that apply)		Art Unit	1641	
<input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None		Attorney Docket No.	LIFE-070DIV	
<input checked="" type="checkbox"/> Deposit Account: Deposit Account Number: 50-0815 Deposit Account Name: Bozicevic, Field & Francis LLP		FEE CALCULATION (continued)		
The Director is authorized to: (check all that apply) <input checked="" type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments <input checked="" type="checkbox"/> Charge Any Additional Fee(s) Required under 37 C.F.R. 1.17. <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.		3. ADDITIONAL FEES		
FEE CALCULATION		Large Entity Small Entity		
1. BASIC FILING FEE		Fee Code Fee Code Fee Description		
Large Entity Fee Code (\$)		Small Entity Fee Code (\$)		
1001 770 2001 385 Utility filing fee		1051 130 2051 65 Surcharge - late filing fee or oath		
1002 340 2002 170 Design filing fee		1052 50 2052 25 Surcharge - late provisional filing fee or cover sheet		
1003 530 2003 265 Plant filing fee		1053 130 1053 130 Non-English specification		
1004 770 2004 385 Reissue filing fee		1812 2,520 1812 2,520 For filing a request for ex parte reexamination		
1005 160 2005 80 Provisional filing fee		1804 920* 1804 920* Requesting publication of SIR prior to Examination action		
SUBTOTAL (1) 0.00		1805 1,840* 1805 1,840* Requesting publication of SIR after Examiner action		
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE		1251 110 2251 55 Extension for reply within first month		
Total Claims -20** = x =		1252 420 2252 210 Extension for reply within second month		
Indep. Claims -3** = x =		1253 950 2253 475 Extension for reply within third month		
Multiple Dependent =		1254 1,480 2254 740 Extension for reply within fourth month		
Large Entity Fee Code (\$)		1255 2,010 2255 1,005 Extension for reply within fifth month		
Small Entity Fee Code (\$)		1401 330 2401 165 Notice of Appeal		
1202 18 2202 9 Claims in excess of 20		1402 330 2402 165 Filing a brief in support of an appeal		
1201 86 2201 43 Independent claims in excess of 3		1403 290 2403 145 Request for oral hearing		
1203 290 2203 145 Multiple dependent claim, if not paid		1451 1,510 1451 1,510 Petition to institute a public use proceeding		
1204 86 2204 43 ** Reissue independent claims over original patent		1452 110 2452 55 Petition to revive - unavoidable		
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent		1453 1,330 2453 665 Petition to revive - unintentional		
SUBTOTAL (2) \$ 0.00		1501 1,330 2501 665 Utility issue fee (or reissue)		
**or number previously paid, if greater; For Reissues, see above.		1502 480 2502 240 Design issue fee		
		1503 640 2503 320 Plant issue fee		
		1406 130 1460 130 Petitions to the Commissioner		
		1807 50 1807 50 Processing fee under 37 CFR 1.17(q)		
		1806 180 1806 180 Submission of Information Disclosure Stmt		
		8021 40 8021 40 Recording each patent assignment per property (times number of properties)		
		1809 770 2809 385 Filing a submission after final rejection (37 CFR § 1.129(a))		
		1810 770 2810 385 For each additional invention to be examined (37 CFR § 1.129(b))		
		1801 770 2801 385 Request for Continued Examination (RCE)		
		1802 900 1802 900 Request for expedited examination of a design application		
		Other fee (specify) _____		
		*Reduced by Basic Filing Fee Paid		
		SUBTOTAL (3) (\$) 180.00		

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Carol M. LaSalle	Registration No. (Attorney/Agent)	39,740
Signature		Telephone	(650) 833-7770
		Date	05/19/2004

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INFORMATION DISCLOSURE STATEMENT	Attorney Docket	LIFE-070DIV
	First Named Inventor	OUYANG, TIANMEI
	Application Number	10/663,217
	Filing Date	September 15, 2003
	Group Art Unit	1641
	Examiner Name	DO, PENSEE T.
	Title: "DIAGNOSTICS BASED ON TETRAZOLIUM COMPOUNDS"	

Address to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This is an Information Disclosure Statement submitted for the Examiner's consideration. This is being filed *after* three months of the filing date of this national application or the date of entry of the national stage as set forth in §1.491 in an international application or *after* the mailing date of the first Office Action on the merits, whichever event occurred last, but *before* the mailing date of either a final action under §1.113 or a notice of allowance under §1.311, whichever occurs first.

A Form PTO-SB/08A listing the references accompanies this paper. Applicants would appreciate the Examiner's initialing and returning the form to indicate that the references have been reviewed and made of record.

- ☒ All of the references identified herein are being submitted prior to the final determination on patentability by the Office.
- ☐ All of the references identified herein were cited in an International (PCT) Search Report dated *, in a PCT application corresponding to the above-identified U.S. application. A copy of the Search Report, including an indication of the purported relevance of the cited documents, is enclosed herewith. Copies of the references are submitted herewith.
- ☐ All of the references identified herein were disclosed in parent application Serial No. 09/513,071 and, as such, copies thereof are not included pursuant to the provisions of 37 C.F.R. §1.98(d), except if noted below.

This Information Disclosure Statement is not intended as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one of the above references constitutes prior art to the present application within the meaning of 35 U.S.C. §102.


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X Please charge the \$180.00 fee required by 37 C.F.R. §1.97(c) and set forth in 37 C.F.R. 1.17(p) and any additional fees which may be required by this paper or any fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21, or to credit any overpayment, to Deposit Account No. 50-0815, order number LIFE-070DIV.

Respectfully submitted,
BOZICEVIC, FIELD & FRANCIS LLP

Date: 5/19/04

By: 
Carol M. LaSalle
Registration No. 39,740

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